

REPORT TITLE: AUDIT COMMITTEE WORK PROGRAMME 2017/18

29 JUNE 2017

REPORT OF PORTFOLIO HOLDER: CLLR GUY ASHTON (PORTFOLIO HOLDER FOR FINANCE)

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WARD(S): GENERAL

PURPOSE

This report sets out the work programme for the Committee for the 2017/18 municipal year.

RECOMMENDATION:

1. That the Audit Committee Work Programme for 2017/18 be approved.

IMPLICATIONS:1 COUNCIL STRATEGY OUTCOME

- 1.1 The setting out of a work programme for the Committee for the coming municipal year supports the Council's approach to delivering efficient public services.

2 FINANCIAL IMPLICATIONS

- 2.1 There are no financial implications.

3 LEGAL AND PROCUREMENT IMPLICATIONS

- 3.1 None.

4 WORKFORCE IMPLICATIONS

- 4.1 None.

5 PROPERTY AND ASSET IMPLICATIONS

- 5.1 None.

6 CONSULTATION AND COMMUNICATION

- 6.1 The Portfolio Holder for Finance, the Chair of Audit Committee and the Head of the Southern Internal Audit Partnership have been consulted on the proposed work programme as set out in Appendix 1.

7 ENVIRONMENTAL CONSIDERATIONS

- 7.1 Not applicable

8 EQUALITY IMPACT ASSESSMENT

- 8.1 Not applicable.

9 RISK MANAGEMENT

Risk	Mitigation	Opportunities
<i>Property</i>	n/a	n/a
<i>Community Support</i>	n/a	n/a
<i>Timescales</i>	n/a	Having a clear work programme allows the Committee to ensure it meets its responsibilities in a timely manner (e.g. publication of the accounts within statutory deadlines)

Risk	Mitigation	Opportunities
<i>Project capacity</i>	n/a	n/a
<i>Financial / VfM</i>	n/a	n/a
<i>Legal</i>	n/a	n/a
<i>Innovation</i>	n/a	n/a
<i>Reputation</i>	Ensures that the Committee is undertaking its role and fulfilling its responsibilities and function.	Provides the evidence that demonstrates that the Committee is carrying out its duties and responsibilities,
<i>Other</i>	n/a	n/a

10 SUPPORTING INFORMATION:

- 10.1 The Appendix to this Report sets out the work programme for the coming municipal year for the Audit Committee.
- 10.2 The programme includes a forward look at the reports that will be presented to the Committee during the coming year supporting its purpose of providing independent assurance to members of the adequacy of the internal control environment and risk management framework.
- 10.3 The work programme incorporates the recent changes whereby a number of reports that were previously presented to the Committee are now included in a single quarterly governance update report. This single report provides the committee with an overview of the key issues in respect of governance and includes an update on progress against the issues identified in the Annual Governance Statement, corporate risks and internal audit reviews.

11 OTHER OPTIONS CONSIDERED AND REJECTED

- 11.1 None

BACKGROUND DOCUMENTS:-

Previous Committee Reports:-

AUD160 – Audit Committee Work Programme 2016/17

Other Background Documents:-

None

APPENDICES:

Appendix 1 – Audit Committee Work Programme 2017/18.

Audit Committee – Work Programme 2017/18**MEETING – 29 JUNE 2017****LEAD****EXTERNAL AUDIT**

Annual Audit Fee 2017/18	Helen Thompson – Executive Director, Ernst and Young
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REGULATORY FRAMEWORK

Governance Quarterly Update – Outturn 16/17	Joseph Holmes - Director (Professional Services)
Risk Management Policy 2017	Joseph Holmes – Director (Professional Services)
Annual Governance Statement	Joseph Holmes - Director (Professional Services)
Local Code of Corporate Governance	Joseph Holmes – Director (Professional Services)
Audit Committee Work Programme	Joseph Homes – Director (Professional Services)
Annual Financial Report 2016/17	Joseph Holmes – Director (Professional Services)

MEETING – 28 SEPTEMBER 2017**EXTERNAL AUDIT**

Audit Results Report for the year ended 31 March 2017	Helen Thompson – Executive Director, Ernst and Young
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REGULATORY FRAMEWORK

Governance Quarterly Update – Q1 17/18	Joseph Holmes – Director (Professional Services)
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ACCOUNTS

Annual Financial Report 2016/17 (for approval)	Joseph Holmes – Director (Professional Services)
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MEETING - 7 DECEMBER 2017**EXTERNAL AUDIT**

Annual Audit Letter	Helen Thompson – Executive Director, Ernst and Young
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Appointment of External Auditors and PSAA – update	Joseph Holmes - Director (Professional Services)
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REGULATORY FRAMEWORK

Governance Quarterly Update Q2 17/18	Joseph Holmes –Director (Professional Services)
LGA Peer Review – Action Plan progress – verbal update	Joseph Holmes – Director (Professional Services)
Treasury Management Strategy 2017/18	Joseph Holmes – Director (Professional Services)

MEETING – 8 MARCH 2018**INTERNAL AUDIT**

Audit Plan 2018/19	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership
Internal Audit Charter 2018/19	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership

EXTERNAL AUDIT

Certification of Claims and Returns Annual Report 2016/17	Helen Thompson – Executive Director, Ernst and Young
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REGULATORY FRAMEWORK

Governance Quarterly Update Q3 17/18	Joseph Holmes –Director (Professional Services)
LGA Peer Review Action Plan progress update	Joseph Holmes –Director (Professional Services)
Local Code of Governance	Joseph Holmes – Corporate Director (Professional Services)