

REPORT TITLE: AUDIT AND GOVERNANCE COMMITTEE WORK PROGRAMME
2021/22

9 JUNE 2021

REPORT OF CABINET MEMBER: CLLR CUTLER – DEPUTY LEADER AND
MEMBER FOR FINANCE AND SERVICE QUALITY

Contact Officer: Lisa Kirkman/Richard Botham Tel No: 01962 848 501 Email
lirkman@winchester.gov.uk/rbotham@winchester.gov.uk

WARD(S): ALL

PURPOSE

This report sets out the work programme for the Committee for the 2021/22 municipal year.

RECOMMENDATION:

1. That the Audit and Governance Committee Work Programme for 2021/22 be approved.

IMPLICATIONS:1 COUNCIL PLAN OUTCOME

- 1.1 The setting of a work programme for the Committee for the coming municipal year supports the Council's approach to delivering efficient services.

2 FINANCIAL IMPLICATIONS

- 2.1 There are no financial implications arising from this report.

3 LEGAL AND PROCUREMENT IMPLICATIONS

- 3.1 None

4 WORKFORCE IMPLICATIONS

- 4.1 None

5 PROPERTY AND ASSET IMPLICATIONS

- 5.1 None

6 CONSULTATION AND COMMUNICATION

- 6.1 The Head of the Southern Internal Audit Partnership has been consulted on the proposed work programme as set out in Appendix 1.

7 ENVIRONMENTAL CONSIDERATIONS

- 7.1 Not applicable

8 EQUALITY IMPACT ASSESSEMENT

- 8.1 Not applicable

9 DATA PROTECTION IMPACT ASSESSMENT

- 9.1 None

9.2 RISK MANAGEMENT

Risk	Mitigation	Opportunities
<i>Property</i>	n/a	n/a
<i>Community Support</i>	n/a	n/a
<i>Timescales</i>	n/a	Having a clear work programme allows the Committee to ensure that it and the Council meets its responsibilities in a

Risk	Mitigation	Opportunities
		timely manner (e.g. approval and publication of the accounts within statutory deadlines)
<i>Project capacity</i>	n/a	n/a
<i>Financial Exposure</i>	n/a	n/a
<i>Exposure to challenge</i>	n/a	n/a
<i>Innovation</i>	n/a	n/a
<i>Reputation</i>	Ensure that the Committee is undertaking its role and fulfilling its responsibilities and function	Provides the evidence that demonstrates that the Committee is carrying out its duties and responsibilities in an open and transparent way.
<i>Achievement of outcome</i>		
<i>Other</i>	n/a	n/a

10 SUPPORTING INFORMATION:

- 10.1 The Appendix to this Report sets out the work programme for the coming municipal year for the Audit and Governance Committee.
- 10.2 The programme includes a forward look at the reports that will be presented to the Committee during the coming year supporting its purpose of providing independent assurance to members of the adequacy of the internal control environment and risk management framework.

11 OTHER OPTIONS CONSIDERED AND REJECTED

11.1 None

BACKGROUND DOCUMENTS:-

Previous Committee Reports:-

None

Other Background Documents:-

None

APPENDICES:

Appendix 1 – Audit and Governance Committee Work Programme 2021/22

Audit and Governance Committee – Work Programme 2021/22**MEETING – 9 JUNE 2021****TITLE****LEAD****REGULATORY FRAMEWORK**

Governance Quarterly Update – Outturn 20/21 AG050	Lisa Kirkman – Strategic Director
Audit Committee Work Programme AG049	Lisa Kirkman/Richard Botham – Strategic Directors
Annual Internal Audit Report and Opinion 2020/21 AG051	Antony Harvey – Chief Internal Auditor Southern Internal Audit Partnership
Annual Report of the Monitoring Officer AG052	Lisa Kirkman – Strategic Director

ACCOUNTS

Planning for and Audit of Accounts 2020/2021 - findings from discussions with the PSAA and from councils that opted out of the PSAA scheme AG053	Liz Keys – Corporate Head of Finance
--	--------------------------------------

MEETING – 22 JULY 2021**EXTERNAL AUDIT**

Annual Audit Fee 2020/21	Kevin Suter – Associate Partner, Ernst and Young
--------------------------	--

REGULATORY FRAMEWORK

Annual Governance Statement	Lisa Kirkman – Strategic Director
Governance Quarterly Update – Q1 2021/22	Lisa Kirkman – Strategic Director
Treasury Management Outturn 2020/21	Richard Botham – Strategic Director
Local Code of Governance 2021	Lisa Kirkman – Strategic Director

ACCOUNTS

Draft Annual financial Report 2020/21	Richard Botham – Strategic Director
---------------------------------------	-------------------------------------

MEETING – 28 SEPTEMBER 2021

REGULATORY FRAMEWORK

Public Sector Equality Duty Review Catherine Knight – Service Lead: Legal

ACCOUNTS

Audit Results Report for the year ended 31 March 2021 Kevin Suter – Associate Partner, Ernst and Young

Annual Financial Report 2020/21 (for approval) Richard Botham – Strategic Director

MEETING – 11 NOVEMBER 2021

EXTERNAL AUDIT

Annual Audit Letter 2021/22 Kevin Suter – Associate Partner, Ernst and Young

REGULATORY FRAMEWORK

Governance Quarterly Update Q2 21/22 Lisa Kirkman – Strategic Director

Treasury Management mid-year monitoring report 2021/22 Richard Botham – Strategic Director

Pay Policy Lisa Kirkman – Strategic Director

MEETING – 3 MARCH 2022

INTERNAL AUDIT

Internal Audit Plan 2022/23 (including the Internal Audit Work Programme) Antony Harvey – Chief Internal Auditor Southern Internal Audit Partnership

Internal Audit Charter 2022/23 Antony Harvey – Chief Internal Auditor Southern Internal Audit Partnership

EXTERNAL AUDIT

Certification of Claims and Returns Annual Report 2021/22 Richard Botham – Strategic Director

REGULATORY FRAMEWORK

Risk Policy 2022/23 Richard Botham – Strategic Director

Governance Quarterly Update Q3 2021/22 Lisa Kirkman – Strategic Director

Local Code of Governance 2022 Lisa Kirkman – Strategic Director

ACCOUNTS

Planning for and the audit of the 2021/22 Accounts Richard Botham – Strategic Director