

REPORT TITLE: AUDIT COMMITTEE WORK PROGRAMME 2018/19

31 MAY 2018

REPORT OF PORTFOLIO HOLDER: CLLR GUY ASHTON (PORTFOLIO HOLDER FOR FINANCE)

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WARD(S): GENERAL

PURPOSE

This report sets out the work programme for the Committee for the 2018/19 municipal year.

RECOMMENDATIONS:

1. That the Audit Committee Work Programme for 2018/19 be approved.

IMPLICATIONS:1 COUNCIL STRATEGY OUTCOME

- 1.1 The setting of a work programme for the Committee for the coming municipal year supports the Council's approach to delivering efficient services.

2 FINANCIAL IMPLICATIONS

- 2.1 There are no financial implications arising from this Report.

3 LEGAL AND PROCUREMENT IMPLICATIONS

- 3.1 None.

4 WORKFORCE IMPLICATIONS

- 4.1 None.

5 PROPERTY AND ASSET IMPLICATIONS

- 5.1 None.

6 CONSULTATION AND COMMUNICATION

- 6.1 The Portfolio Holder for Finance, Chair of Audit Committee and the Head of the Southern Internal Audit Partnership have been consulted on the proposed work programme as set out in Appendix 1.

7 ENVIRONMENTAL CONSIDERATIONS

- 7.1 Not applicable

8 EQUALITY IMPACT ASSESSMENT

- 8.1 Not applicable.

9. DATA PROTECTION IMPACT ASSESSMENT

- 9.1 None.

10. RISK MANAGEMENT

Risk	Mitigation	Opportunities
<i>Property</i>	n/a	n/a
<i>Community Support</i>	n/a	n/a
<i>Timescales</i>	n/a	Having a clear work programme allows the Committee to ensure that it and the Council meets

Risk	Mitigation	Opportunities
		its responsibilities in a timely manner (e.g. approval and publication of the accounts within statutory deadlines)
<i>Project capacity</i>	n/a	n/a
<i>Financial / VfM</i>	n/a	n/a
<i>Legal</i>	n/a	n/a
<i>Innovation</i>	n/a	n/a
<i>Reputation</i>	Ensure that the Committee is undertaking its role and fulfilling its responsibilities and function	Provides the evidence that demonstrates that the Committee is carrying out its duties and responsibilities in an open and transparent way.
<i>Other</i>	n/a	n/a

11. SUPPORTING INFORMATION:

- 11.1 The Appendix to this Report sets out the work programme for the coming municipal year for the Audit Committee.
- 11.2 The programme includes a forward look at the reports that will be presented to the Committee during the coming year supporting its purpose of providing independent assurance to members of the adequacy of the internal control environment and risk management framework.

12. OTHER OPTIONS CONSIDERED AND REJECTED

- 12.1 None.

BACKGROUND DOCUMENTS:-

Previous Committee Reports:-

AUD184 Audit Committee Work Programme 2017/18

Other Background Documents:-

None.

APPENDICES:

Appendix 1 – Audit Committee Work Programme 2018/19

Audit Committee – Work Programme 2018/19**MEETING – 31 MAY 2018****TITLE****LEAD****EXTERNAL AUDIT**

Annual Audit and Certification Fee 2018/19	Helen Thompson – Executive Director, Ernst and Young
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REGULATORY FRAMEWORK

Governance Quarterly Update – Outturn 17/18	Joseph Holmes – Strategic Director - Resources
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Audit Committee Work Programme	Joseph Holmes – Strategic Director - Resources
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Annual Internal Audit Report and Opinion 2017/18	Neil Pitman – Head of Southern Internal Audit Partnership
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ACCOUNTS

Draft Annual Financial Report 2017/18	Joseph Holmes – Strategic Director - Resources
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MEETING – 31 JULY 2018**EXTERNAL AUDIT**

Audit Results Report for the year ended 31 March 2018	Helen Thompson – Executive Director, Ernst and Young
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REGULATORY FRAMEWORK

Annual Governance Statement	Joseph Holmes – Strategic Director - Resources
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Governance Quarterly Update – Q1 2018/19	Joseph Holmes – Strategic Director - Resources
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Risk Management Policy 2018	Joseph Holmes – Strategic Director - Resources
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ACCOUNTS

Annual Financial Report 2017/18 (for approval)	Joseph Holmes – Strategic Director - Resources
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MEETING – 29 NOVEMBER 2018**EXTERNAL AUDIT**

Annual Audit Letter 2017/18

Helen Thompson – Executive Director,
Ernst and Young**REGULATORY FRAMEWORK**

Governance Quarterly Update Q2 18/19

Joseph Holmes – Strategic Director -
Resources**MEETING – 7 MARCH 2019****INTERNAL AUDIT**

Internal Audit Plan 2018/19

Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership

Internal Audit Charter 2018/19

Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership**EXTERNAL AUDIT**Certification of Claims and Returns Annual
Report 2017/18Joseph Holmes – Strategic Director -
Resources**REGULATORY FRAMEWORK**

Governance Quarterly Update Q3 18/19

Joseph Holmes – Strategic Director -
Resources

Local Code of Governance 2019

Joseph Holmes – Strategic Director -
Resources**ACCOUNTS**Planning for and the audit of the 2018/19
AccountsJoseph Holmes – Strategic Director -
Resources