

THE AUDIT AND GOVERNANCE COMMITTEE - WORK PROGRAMME 2019/20

25 JULY 2019					
	BUSINESS	LEAD OFFICER	COMMITTEE DATE		STATUS/COMMENT
			Original	Revised	
	Audit Results Report for the year ended 31 March 2019	Kevin Suter – Associate Partner, Ernst and Young	25 July 2019		
	Annual Financial Report 2018/19 (for approval)	Joseph Holmes	25 July 2019		
	Annual Governance Statement	Joseph Holmes	25 July 2019		
	Risk Management Policy 2019	Joseph Holmes	25 July 2019	28 November 2019	Now to be included as an item under Risk Training for the Committee
	Treasury Management Outturn 2018/19	Joseph Holmes	25 July 2019		
	Governance Quarterly Update – Q1 2019/20	Joseph Holmes	25 July 2019		
28 NOVEMBER 2019 – Rescheduled to 9 JANUARY 2020 due to General Election Purdah period					
	BUSINESS	LEAD OFFICER	COMMITTEE DATE		STATUS/COMMENT
			Original	Revised	
	Annual Audit Letter 2018/19	Kevin Suter – Associate Partner, Ernst and Young	28 November 2019	9 January 2020	Now for consideration on 5 March 2020
	Governance Quarterly Update Q2 2019/20	Strategic Director - Resources and Monitoring Officer	28 November 2019	9 January 2020	

	Managing project risks – including reputational and financial risks	Strategic Director - Resources and Monitoring Officer	28 November 2019	9 January 2020	Now to be included as an item under Risk Training for the Committee
	Treasury Management mid-year monitoring report 2019/20	Strategic Director - Resources and Monitoring Officer	28 November 2019	9 January 2020	
	Pay Policy	Strategic Director - Resources and Monitoring Officer	28 November 2019	9 January 2020	

5 MARCH 2020

	BUSINESS	LEAD OFFICER	COMMITTEE DATE		STATUS/COMMENT
	Internal Audit Plan 2020/21	Antony Harvey – Chief Internal Audit Southern Internal Audit Partnership	5 March 2020		
	Internal Audit Charter 2020/21	Antony Harvey – Chief Internal Audit Southern Internal Audit Partnership	5 March 2020		
	Certification of Claims and Returns Annual Report 2018/19	Strategic Director - Resources and Monitoring Officer	5 March 2020		
	Governance Quarterly update Q3 2019/20	Strategic Director - Resources and Monitoring Officer	5 March 2020		
	Housing Revenue Account (HRA) – risks and financial viability	Richard Botham	5 March 2020		
	Local Code of Governance 2020	Strategic Director - Resources and Monitoring Officer	5 March 2020		

	Planning for and the audit of the accounts 2019/20	Strategic Director - Resources and Monitoring Officer	5 March 2020		
	Complaints update	Strategic Director Resources and Monitoring Officer	5 March 2020		
	Annual Audit Letter 2018/19	Kevin Suter – Associate Partner, Ernst and Young	5 March 2020	9 January 2020	

Items for 2020/21

June

Code of conduct end of year review

Draft Annual Financial Report 19/20